



Strengthening health research capacity in Africa

Africa Research Excellence Fund

Case Study:

General Data Protection Regulations

Maria Andrews, Head of Major Gifts

www.africaresearchexcellencefund.org.uk

AREF Research Development Fellowships

SENEGAL:

Dr Seynabou Sougoufara (L), Topic: Malaria transmission
Dr El Hadji Niang (R) Topic: Malaria vector genetics



UGANDA: Dr David Musoke (L), Topic: Malaria transmission
Dr Dickens Akena (R) Topic: *Visual Instruments for Mental Health in sub-Saharan Africa*



THE GAMBIA:
Dr Haddy Fye
Topic: Sickle cell, biomarkers

GHANA:
Dr Kingsley Badu
Topic: Malaria transmission



NIGERIA:
Dr Oluwafemi Akande, Topic: buildings environmental health



CAMEROON: Dr Seraphine Esemu (L), Topic: Buruli ulcer
Dr Leopold Tientcheu (R) Topic: Immunity to MTB & Rx



MALAWI:
Dr Benjamin Kumwenda;
Topic *S. typhimurium* virulence

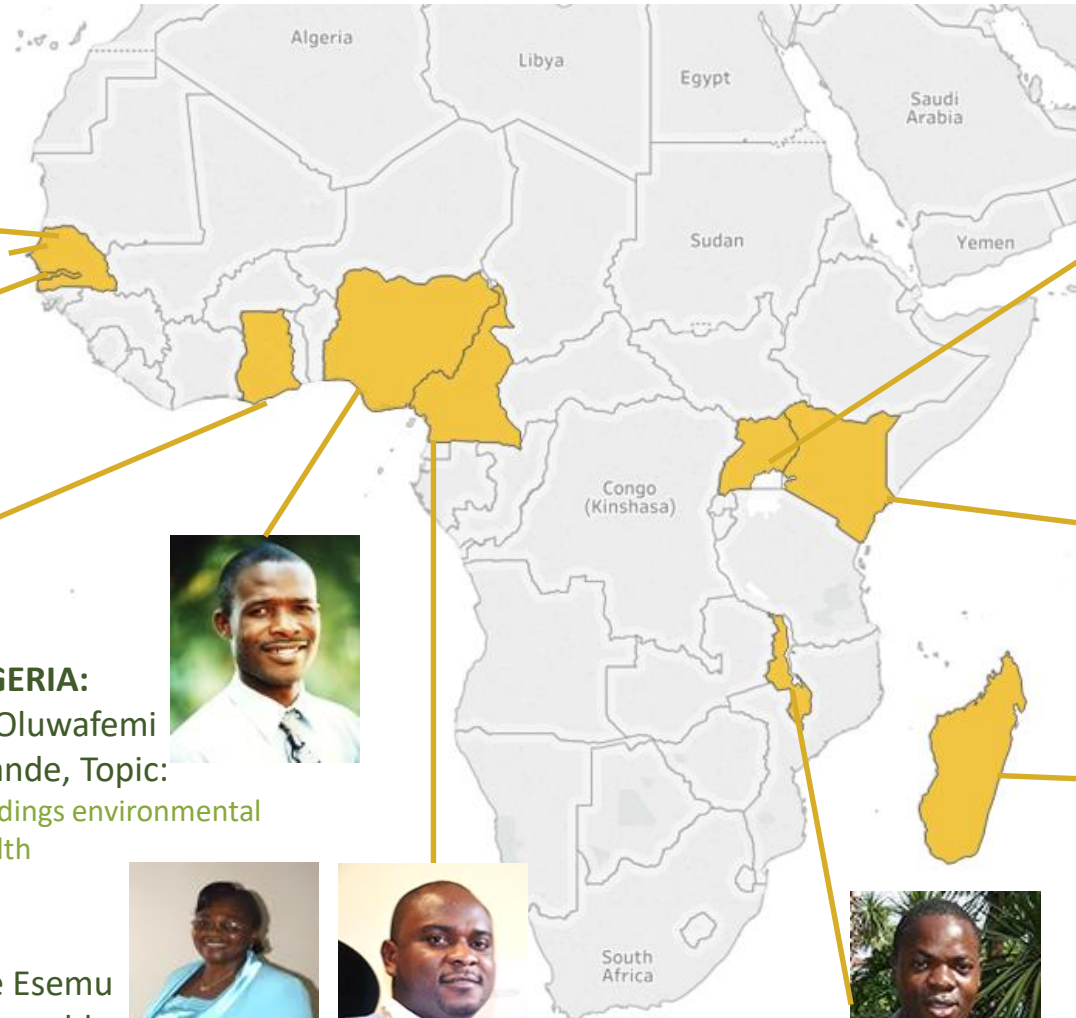


MADAGASCAR:
Dr Mareille Harimalala, Topic: Plague, epidemics



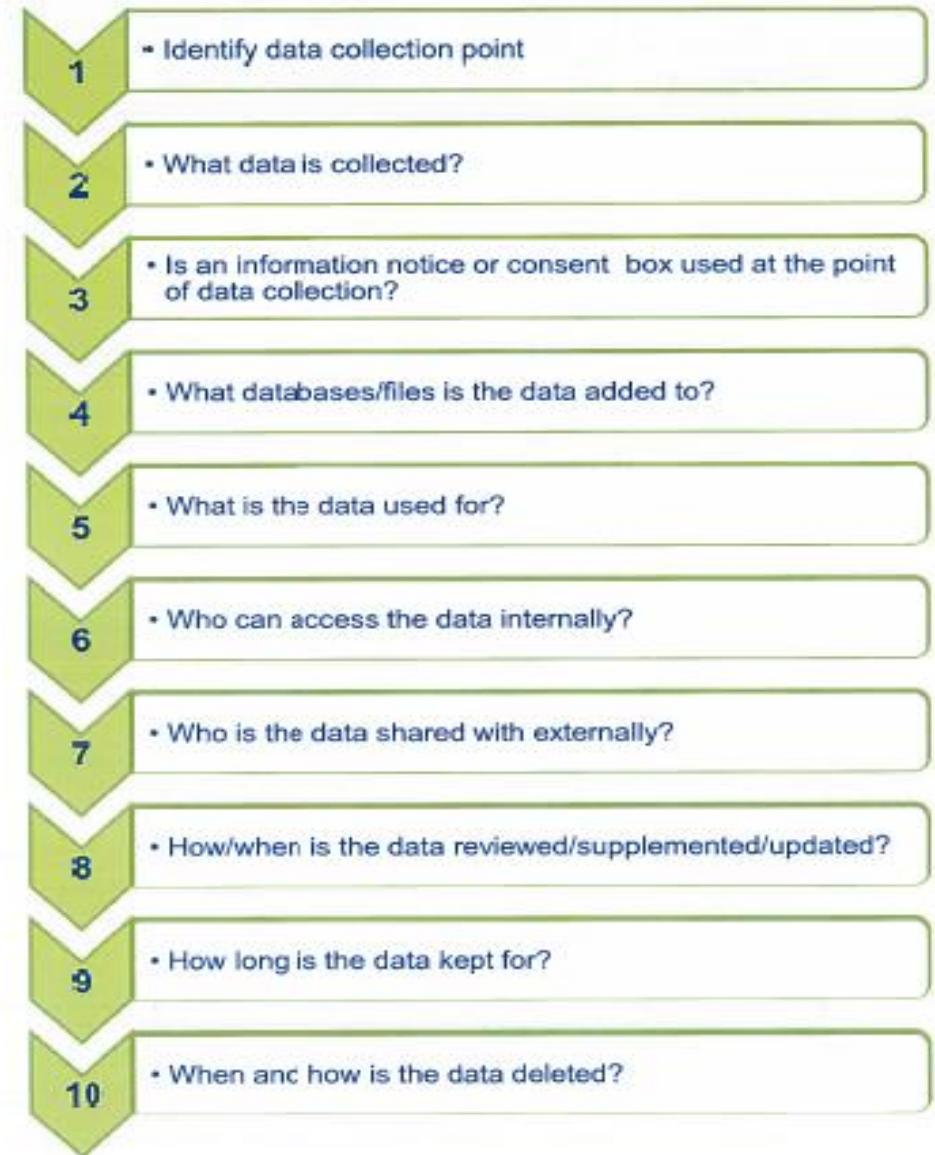
KENYA:

Dr Zachary Kwena (L), Topic: HIV-infection
Dr Amin S. Hassan (R) Topic: Innate responses HIV-1



First stage

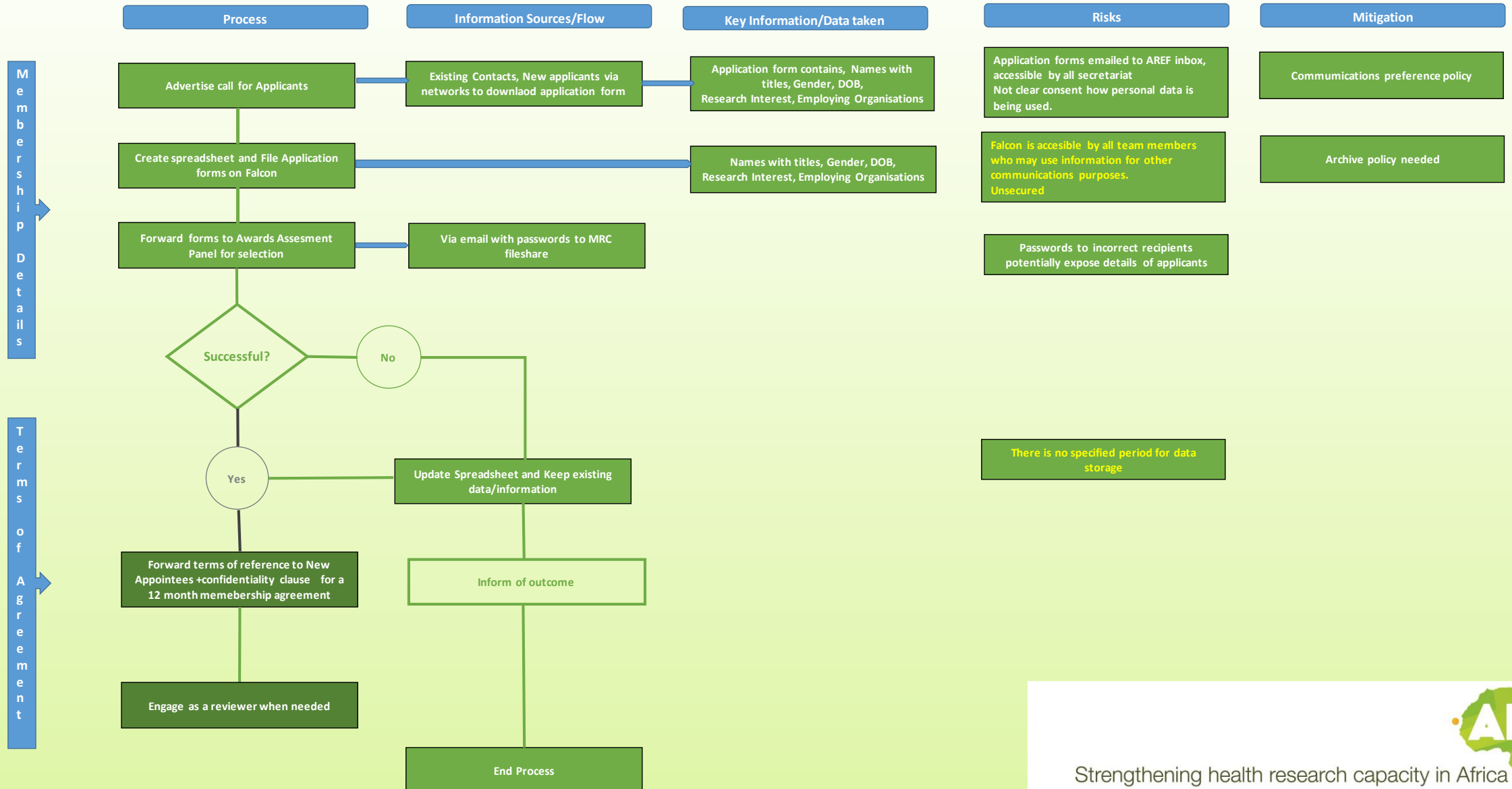
- Explain why we need to do this to everyone
- **Data Mapping Audit objectives:**
 - to discover how individual data is managed across its multiple establishments UK, Uganda and The Gambia
- **Privacy Impact Assessment (PIA) objectives:**
 - To understand the gaps and risks of managing individual data within the charity's operations and programme delivery requirements
 - To develop compliant Service Operation Policies



Source: Institute of Fundraising & Birketts 'Data protection for Fundraisers'



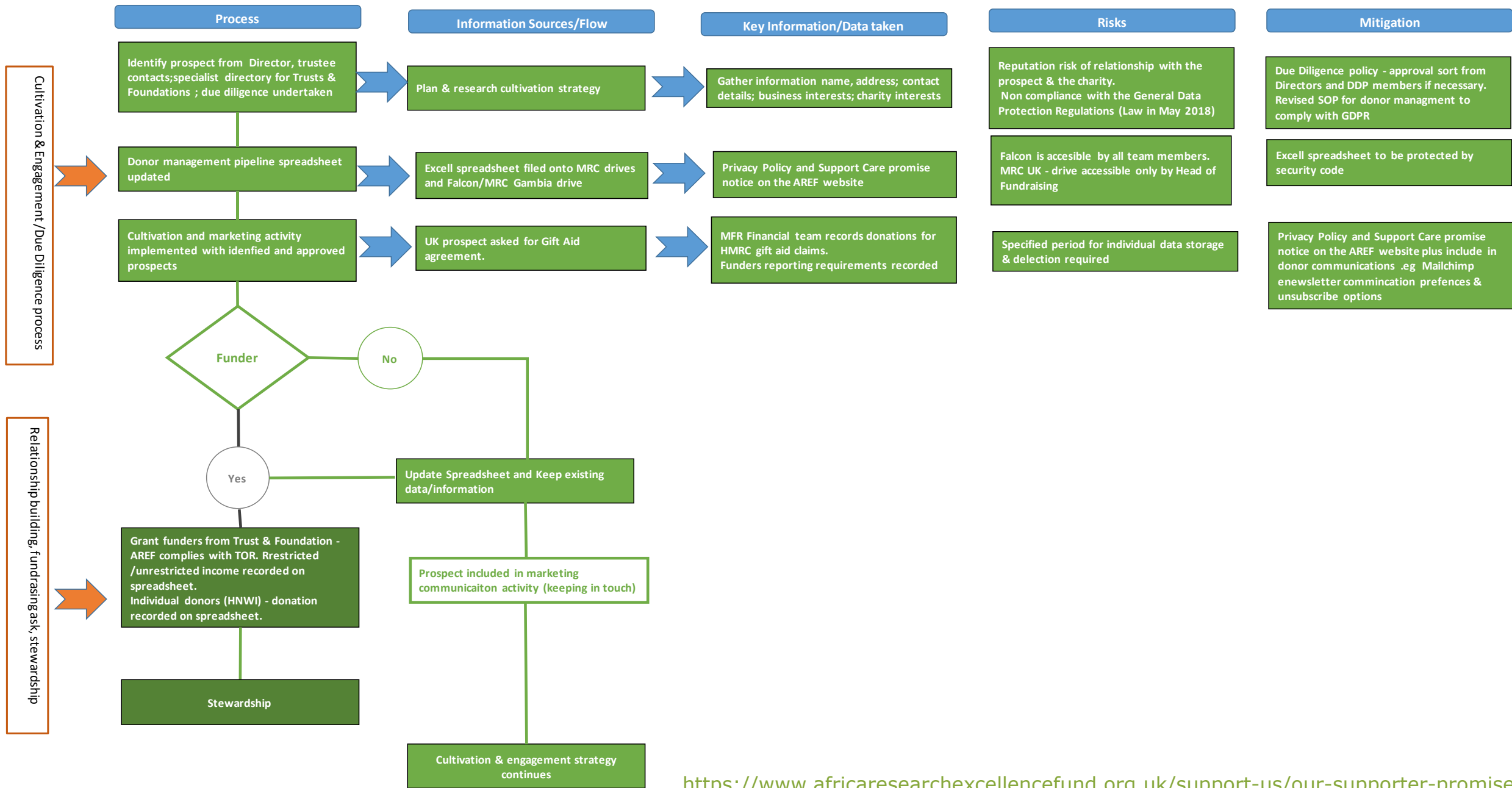
GDPR AREF Stakeholders: mapping of College of Experts; Awards Assessment Panel & Facilitators



AREF Fundraising PIA

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| <p>Policy/Record name: Standard Operating Procedure (SOP) Donor Management Process & System Due Diligence Policy Support Promise (statement) Privacy Statement</p> |
| <p>Is there an existing policy that can be updated?</p> <p>Yes. The policies need to include the privacy statement to explain how we use individual data, storage and archive systems.</p> <p>Action: Privacy noticeboard on donor/funder communications (website, Case for Support). Excell spreadsheets to be locked with security code. (All passwords, codes kept centrally within a secure network – Falcon/MRC Gambia and MRC drives in UK).</p> |
| <p>What will the policy/record cover or the update address:</p> <p>Action: Compliance with GDPR – privacy statement; notice on how data is being managed by the charity and for what purpose; communication preferences; UK tax payers – Gift Aid forms</p> |
| <p>How often will the policy/record be reviewed: Annually</p> |
| <p>Policy/Record author: Head of Major Gifts</p> |

- i. Will the project involve the collection of new information about individuals?
- ii. Will the project compel individuals to provide information about themselves?
- iii. Will information about individuals be disclosed to organisations or people who have not previously had routine access to the information?
- iv. Are you using information about individuals for a purpose it is not currently used for, or in a way it is not currently used?
- a. Does AREF hold personal information (Yes); Have we been clear with individuals what we will use the data for? (Yes/No); What information notice do we need to share to be compliant?
- v. Does the project involve you using new technology which might be perceived as being privacy intrusive? For example, the use of biometrics or facial recognition.
- vi. Will the project result in you making decisions or taking action against individuals in ways which can have a significant impact on them?
- vii. Is the information about individuals of a kind particularly likely to raise privacy concerns or expectations? For example, health records, criminal records or other information that people would consider to be particularly private.
- viii. Will the project require you to contact individuals in ways which they may find intrusive?



Sources of further information

ICO Data Protection Reform <https://ico.org.uk/for-organisations/data-protection-reform/> and <https://ico.org.uk/for-organisations/charity>

Fundraising Regulator

<https://www.fundraisingregulator.org.uk/about/purpose-strategy/>

Code of Fundraising Practice

<https://www.fundraisingregulator.org.uk/code-of-fundraising-practice/code-of-fundraising-practice-v1-4-310717-docx/>